Vol. 5 Issue 2

Articles

Territorial Autonomy as a Constitutional Mechanism to Address Diversity-Driven Conflicts: An Ethiopian Case Study

Tesfaye Ayalew Mekonen pp. 52–77

Can Federalism Achieve the 'Purpose of Government'? Ethnic Crisis and Protection of Life and Property in Nigeria

Sunday Tunde Akindele and Rotimi Adeforiti pp. 79–98

Additional material

Call for Applications p. 99

Call for Papers p. 101



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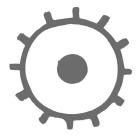
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Preface

Constitutions as conflict management

The fundamental structure of lawmaking in states is, almost by definition, a set of rules that should not be up for conflict or revision as a recurrent theme of national politics. The role of the constitution is to play this particular role of an unquestioned guide to national power management.

While constitutions themselves vary significantly in terms of size and level of detail – Iceland has a famously short constitution and Brazil a longer and more detailed constitution than most countries – their challenge is to find a design that makes them an accepted supra-layer of rules for lawmaking. When lawmaking is made within expected political horizons, the constitution is the document that sets the limit of those horizons.

It is a widely accepted view that constitutions should formulate ideas and values that are common for the people and, as a consequence, its state. Views vary, however, when it comes to the next function of a constitution: how much further should a constitution regulate power distribution, accountability, and the decision-making process? In situations of internal conflict, not seldom over the formation of the state itself, such functions are critical to the very sustainability of the constitution, should it not be another issue of contestation in times to come.

In this issue of *Journal of Autonomy and Security Studies* we are given the opportunity to reflect on the role and function of constitutions, and thereby of fundamental state structures, in situations of tension, (mis)management, and challenging power aspirations. The articles themselves reveal a set of wider issues – wider than the article format allows for – which we may bring further into a more general reflection on state formation principles. In this way, this issue of JASS, for all its content and relevance for specific empirical situations, brings us further into matters that deserve treatment in their own right – such as how constitutions relate to a history of conflict and disaster, an experience so common among states today.

Kjell-Åke Nordquist Editor-in-Chief

Table of Contents

ARTICLES

Tesfaye Ayalew Mekonen	
Territorial Autonomy as a Constitutional Mechanism to Address	
Diversity-Driven Conflicts: An Ethiopian Case Study	52
1. Background	54
2. Contradictory interpretation of history	55
3. Constitutional framework for the formation of sub-state autonomies	61
4. Key features of the current Ethiopian polity	65
4.1 The formation of sub-states and the state	65
4.2 Protection of minorities	68
4.3 Sub-state security apparatus	71
4.4 Demarcation between sub-states	72
4.5 Political turmoil and conflicts	74
5. Conclusion and way forward	75
Sunday Tunde Akindele and Rotimi Adeforiti Can Federalism Achieve the 'Purpose of Government'?	
Ethnic Crisis and Protection of Life and Property in Nigeria	79
1. Introduction	81
1.1 Statement of the problem	83
1.2 Methodology	84
2. The Security situation in the Nigerian federal system	85
2.1 The dynamics of ethnic relationships in Nigeria	88
2.2 Internally displaced persons management	88
2.3 Local government as a tool for effective governance in Nigeria	89
3. The case if conflicts between herders and indigene	90
3.1 Resettlement as governance in post-conflict situations in Nigeria	92
3.2 Government measures on conflict prevention and residential rights in Niger	ria 93
4. Conclusions	94
Call for Applications	99
Call for Papers	101

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Territorial Autonomy as a Constitutional Mechanism to Address Diversity-Driven Conflicts: An Ethiopian Case Study

Tesfaye Ayalew Mekonen

Journal of Autonomy and Security Studies, 5(2) 2021, 52–77

Abstract

Ethiopia is the oldest independent, and the second most populous, country in Africa. It is the home of over eighty ethnolinguistic communities, also known as 'nations, nationalities and peoples'. None of them represents 50 percent of the total population. The constitution adopted following the regime change in 1991 has introduced a federal state structure that bestows territorial autonomy, including the unconditional right to secession, to all the ethnolinguistic communities equally and irrespective of their demographic size and settlement pattern. The framers and advocates of the constitution consider this model of territorial autonomy as an approach to deal with diversity and respond to identity-related questions. Others contend that the constitution is an instrument for the ethnicization of administrative provinces that heightens and proliferates intercommunal conflicts in the country, rather than resolving the longstanding political turmoil associated with identity-related questions. I analyze the historical discourse that led to the formation of the federation, the interplay between the central/federal government and sub-state governments, the protection of diffused minorities, the issue of boundary demarcation between sub-states, and the status and role of the sub-states' security apparatuses. Though much was token about its successes, I argue that the constitution is defective in its design, and if it has not aggravated the underlying causes of persistent political crisis across the country it has certainly not addressed them. After about three decades of the inauguration of the federation, the unsettled identity-related questions that have manifested in outspread interethnic clashes and tension between sub-states due to land claims and/or identity questions prove the deficiency of the constitutional mechanism, notably the multi-level territorial autonomy model, to resolve such protracted and complex issues which have unprecedentedly riddled the country. Thus, reconsideration of the constitution through a stable and comprehensive transitional justice process is necessary to address outstanding issues and re-legitimize and consolidate the Ethiopian polity.

Keywords

Ethnolinguistic community, federalism, polity, territorial autonomy

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1. Background

Ethiopia is the oldest independent, and the second most populous, country in Africa, with an estimated population of over 116 million and a total land area of 1.104 million km square. Globally, it is the twelfth most populous country. A Horn of African country with no access to the sea, it shares borders with Eritrea, Djibouti, Somalia, Kenya, South Sudan and Sudan. More than eighty ethnolinguistic communities inhabit the country. The Oromo and Amhara ethnolinguistic communities constitute approximately 34.4 percent and 27 percent of the total population, respectively. Each of the other ethnolinguistic communities has a portion of less than 7 percent. These include the Somali (6.2 percent), Tigre (6.1 percent), Sidama (4 percent), Gurage (2.5 percent), Welayta (2.3 percent), Afar (1.7 percent), Hadiya (1.7 percent) and Gamo (1.5 percent). Whilst all languages have equal statutory recognition, Amharic is the working language of the federal government and is widely spoken throughout the country. The other major languages are Afaan Oromo (the official working language in the Regional State of Oromiya), Somali (the official working language of the Regional State of Tigray).

The two Abrahamic religions reached Ethiopia before they spread across the Middle East. Christianity was a state religion from the 4th century until 1974.⁴ The first converts to Islam during the period of Prophet Mohammed "outside of his close circle" were Ethiopians following the first Hijra (to Ethiopia) in 615.⁵ According to the 2007 National Census, 43.5 percent of the total population believe in Orthodox Christianity, while 34 percent are Muslims. The remaining have different religious beliefs, such as Protestant (18.5 percent), traditional (2.7 percent), Catholic (0.7 percent) and others (0.6 percent).⁶ Except for the three autonomous sub-states predominantly inhabited by Muslims, the Somali, Afar and Harari Regional States, Ethiopians' ethnolinguistic and religious diversity is cross-sectional. Because of this, some describe Ethiopia as a mosaic of nationalities.⁷ On the other hand, violent protest, intercommunal conflict and civil war owing to contradictory claims and narratives on the question of identity have become a common phenomenon in

World Population Review, "Ethiopia Population 2020 (Live)", accessed on December 15, 2020, https://worldpopulationreview.com/countries/ethiopia-population

World Bank Group, "Population, total – Ethiopia", accessed on December 20, 2020, https://data.worldbank.org/indicator/SP.POP.TOTL?locations=ET

³ World Population Review.

⁴ Assefa Tolera, "Interrogating Religious Plurality and Separation of State and Religion in Ethiopia", Eastern Africa Social Science Research Review 33(1) (2017): 39–72.

⁵ Jon Abbink, "An historical-anthropological approach to Islam in Ethiopia: issues of identity and politics", *Journal of African Cultural Studies* 11(2) (1998): 109–124, 111.

Federal Democratic Republic of Ethiopia Population Census Commission, Summary and Statistical Report of the 2007 Population and Housing Census, (Addis Ababa: 2008), 17.

⁷ Sisay Gebre-Egziabher, "What Role Should Civil Society Organizations Play to Address Ethnic Conflicts in Ethiopia?" *International Conference on African Development Archives* (106) (2007), 4.

most parts of the country. Since 2020, the security situation has deteriorated following the bifurcation of the Tigray regional state government from the central (federal) government.

This paper explores the underlying factors for the longstanding and pervasive political turmoil in Ethiopia and the attempted constitutional mechanism to address the crisis. It is a socio-legal desk study focusing on the constitutional setup of the federation and the actual situation in the country. The paper is divided into five sections. This first section briefly presents the ethnolinguistic and religious composition of Ethiopia. The second section portrays how the political turmoil and antagonism throughout the country are protracted and complex. The contradictory narratives about the formation of Ethiopia as a distinct sovereign state are recounted with their impact in shaping the current state structure and political system. Under the third section, multi-level territorial autonomy as a constitutional mechanism to resolve issues related to the question of identity is analysed. The fourth section illustrates the shortcomings of the constitutional mechanism. It also outlines the conflict situation in the country as an epitome of constitutional failure to maintain peace and order. The fifth section presents concluding remarks on the importance of constitutional reconsideration and amendment through a transitional justice process.

2. Contradictory interpretation of history

Historians trace the origin of Ethiopian statehood to the *Daamat* kingdom, which is believed to have existed from 1000 to 300 BC.⁸ Epigraphic and archaeological evidence indicates that "a state arose in the Ethiopian highlands during the mid-1st millennium B.C." The *Daamat* was succeeded by the Axumite kingdom, alias Axumite Empire – a powerful kingdom and symbol of civilization located in northern Ethiopia and Eritrea from 100 to 940.¹⁰ The monarchial system in Ethiopia continued until 1974. The basis of legitimacy for the emperors during such a long period was their lineage to Menelik I, the first king of Ethiopia, who was born to Queen Sheba of Ethiopia and King Solomon of Jerusalem.¹¹ Applauded by the teachings of the Orthodox Christian church and propagated by the monarchial rules and regulations, this well-established mythology maintained such a functional and longstanding Solomonic dynasty.

In 1889, Menelik II came to the throne and became the strongest king of the period. He

⁸ Rodolfo Fattovich and Kathryn A. Bard, "The Proto-Aksumite Period: An Overview", *Annales d'Ethiopie* 17 (2001): 3–24, 3–4; A. Catherine D'Andrea et al, "The Pre-Aksumite and Aksumite Settlement of NE Tigrai, Ethiopia", *Journal of Field Archaeology* 33(2) (2008): 151–176, 151.

⁹ A. Catherine D'Andrea et al, "The Pre-Aksumite and Aksumite Settlement of NE Tigrai, Ethiopia",153.

¹⁰ ER Services, "World Civilization – Kingdom of Aksum", accessed on December 27, 2020, https://courses.lumenlearning.com/suny-hccc-worldcivilization/chapter/kingdom-of-aksum/

¹¹ Merera Gudina, *Competing Ethnic Nationalisms and the Quest for Democracy, 1960–2000*, (Düren: Shaker Publishing, 2003), 57.

marched to the south, east and west of Shewa, the center of his administration, and could bring various kingdoms, chieftains and Islamic sultanates under his dominion. Some of the self-administered territories, such as Kumsa Moroda of Lega Nagamte and Abba Jifar II of Jimma, acceded to his emperorship threatened by his military might, whereas others were conquered after attempting strong resistance and faced huge losses.¹² Menelik II incorporated the emirate of Harar, the sheikdoms of Bela Shangul (Beni Shangul), Agoldi (Asosa), Khomosha and Arsi, and the Kingdoms of Walayta and Kafa into his empire upon defeating the local rulers in a series of bloody wars.¹³ Alarmed by the expansion of the European colonial powers in neighboring countries (such as Sudan, Kenya and Somalia), Menelik II sent letters to the colonizers to let them be aware of and refrain from "what he considered to be the legitimate boundaries of the country [i.e., Ethiopia]."¹⁴ Between 1896 and 1906, Ethiopia assumed its present shape and size. Menelik II was successful in doubling the size of his empire and legalizing the border through skillful negotiations and by signing binding agreements with neighboring colonial powers.¹⁵ His military success was not limited only to the geographical expansion of his empire towards the south, east and west, but he also praiseworthily defended the sovereignty and independence of Ethiopia by defeating Italy, which repeatedly tried to colonize Ethiopia, at the historic battle of Adwa in 1896.

Menelik II has been considered as the founder of modern Ethiopia. Besides initiating the construction of the Addis Ababa-Djibouti railway and several bridges and roads in various parts of the country, he introduced electricity, the telegraph, the postal system and vaccinations. The first modern hospital and school were built during his reign. He abolished slavery and inaugurated the first national currency. Emphasizing the achievements of Menelik II, Ahmed Hassen noted that "the life of Menelik and above all his long reign has revealed different qualities: tolerance, wisdom, intelligence, skillful diplomacy and military leadership recording a victory against the Italian colonial attempt in Ethiopia." His successors continued, though they were not as effective, applying more or less the same form of kingship and system of public administration.

This historical background of today's Ethiopia has been interpreted in different ways.

¹² Bahru Zewde, *A History of Modern Ethiopia*, 1855–1991, (Addis Ababa: Addis Ababa University Press, 2002). 62–66.

¹³ Bahru Zewde, A History of Modern Ethiopia, 1855–1991.

¹⁴ Bahru Zewde, A History of Modern Ethiopia, 1855–1991, 61.

¹⁵ Ahmed Hassen, "Revisiting Emperor Menelik: A Historical Essay in Reinterpretation, ca.1855–1906", *Journal of Ethiopian Studies* 49 (2016): 79–97, 90.

¹⁶ Erving E. BeaureGard, "Menelik II: Another Look", *Transafrican Journal of History* 5(2) (1976): 21–31, 23.

¹⁷ Ahmed Hassen, "Revisiting Emperor Menelik: A Historical Essay in Reinterpretation, ca.1855–1906", *Journal of Ethiopian Studies* 49 (2016): 79–97, 94.

According to Messay Kebede, there are three contradictory interpretations of Ethiopian history: the colonial thesis, the reunification thesis, and the expansion thesis. ¹⁸ The expansion thesis lies between the colonial thesis and the reunification thesis. Although academically it looks like a distinct discourse, it aligns with either of the two extreme theses in the practical political arena. The Ethiopian political landscape has been controlled and shaken by polarised views. The colonial and reunification theses have dominated the mainstream political discourse and impacted the formation and operation of the federal system. I thus focus on these contrasting theses.

Advocates of the colonial thesis note that Ethiopia is the creation of Menelik II by conquest, subjugation and suppression. For them, before the "invasion" of Menelik II, the southern, eastern and western territories of present-day Ethiopia were independent and sovereign states. For example, Asefa Jalata, a prominent Oromo intellectual, claims that "Oromia is an Oromo country that almost occupies three-fourths of the Ethiopian Empire." ¹⁹ Academics, activists and politicians in this group inculpate the monarchical system of not only dismantling their social fabric and replacing their cultural and linguistic identity with alien culture and language, but also of subjecting them to forfeit their entitlement to land and other natural resources. In Assefa's words, "the Oromo and other colonised peoples were targeted to provide raw materials for local and European markets...", he continued, "the colonial settlers [referring to Menelik's war lords, appointees and their families] built garrisons as their political centres for practicing colonial domination through the monopoly of the means of compulsion, wealth and capital accumulation, and cultural dissemination."20 As an outspoken advocate of the colonial thesis, Assefa expounds that "Ethiopian colonialism reduced some Oromos and others to slaves and semi-slaves ... the conquered or raided captives who were enslaved by Ethiopians either served as domestic slaves or were exported as commodities."21

Exponents of the colonial thesis believe that there are similarities between "Ethiopian colonialism" and the European colonialism in terms of motive and methods. They argue, like the Europeans, Ethiopian emperors considered indigenous peoples in "the occupied territories" as backwards and propagated that it is their mission to enlighten their subjects by baptizing/Christianising and teaching the Amharic language.²² As Christian Scherrer

Messay Kebede, "Menelik and Southern Ethiopia: Colonialization, Reunification, or Expansion?" *Borkena.com* April 16, 2015. Accessed on January 9, 2021, https://borkena.com/2015/04/17/menelik-and-southern-ethiopia-colonialization-reunification-or-expansion-messay-kebede-phd/

¹⁹ Asefa Jalata, "Sociocultural Origins of the Oromo National Movement in Ethiopia", *Journal of Political and Military Sociology*, 21 (1993): 267–286, 268.

²⁰ Asefa Jalata, "Sociocultural Origins of the Oromo National Movement in Ethiopia", 269.

²¹ Asefa Jalata, "Sociocultural Origins of the Oromo National Movement in Ethiopia", 270.

Mekuria Bulcha, "Walelign Mekonnen, the Question of Nationalities and Ethiopia's Persistent Crisis", *Kichuu info* June 10, 2018. Accessed on January 9, 2021, https://kichuu.com/walelign-mekonnen-the-question-of-nationalities-and-ethiopias-persistent-crisis/# edn12

emphasises: "European and Abyssinian colonialism occurred simultaneously, pursued similar interests, albeit from differing socio-economic bases, and this was reinforced by comparable colonial ideologies of the idea of empire and notion of 'civilizing mission' and the exploitation of the subjugated peoples." Abyssinia is the former nomenclature of Ethiopia. As per the colonial thesis, Menelik II and his successors were deemed as colonisers and enslavers who exploited and subjugated the peoples of southern, western and eastern Ethiopia. This group does not give recognition for the role of Menelik II in protecting the ethnolinguistic communities within contemporary Ethiopia from European colonial aggression, maintaining each one of them intact, and preserving their respective language and culture.

The colonial thesis calls for the decolonization of the southern, eastern and western provinces of Ethiopia in the manner in which other British and French colonies were liberated.²⁴ Under this thesis, the legitimate and logical solution for diversity-driven problems is in effect the disintegration of Ethiopia and the (re)formation of (new) sovereign and independent states. Eritrea has become independent using the colonial thesis as a legitimizing ideology and mobilising factor.²⁵ The Oromo Liberation Front (OLF) and the Ogaden National Liberation Front (ONLF) have boldly incorporated the colonial thesis in their political programs and mission statements. 26 It is not dubious to infer from the wording of their documents that their claim for self-determination includes the creation of independent states. Recently, Getachew Reda, member of the Central Committee and spokesperson of the Tigray People's Liberation Front (TPLF), evinced his party's commitment "to go to hell and back to disintegrate Ethiopia."²⁷ Chopping the country into pieces and establishing small states is therefore the ultimate goal, or at least plan B, of these liberation fronts and their affiliates. In the latter scenario, plan A for these groups is the reconfiguration of the country's governance structure such that it bestows them hegemonic ascendency, including political, economic and cultural dominance. After the TPLF ruled Ethiopia for twenty-seven years, it is on the basis of

²³ Christian Scherrer, "Analysis and Background to the Refugee Crisis: The Unsolved Oromo Question", in War Against the Oromo and the Mass Exodus from Ethiopia: Voices of Refugees in Kenya and Sudan, eds. Mekuria Bulcha and Christian Scherrer (Frankfurt: IFEK-IRECOR, 2003): 27, quoted in Mekuria Bulcha, Walelign Mekonnen, the Question of Nationalities and Ethiopia's Persistent Crisis

²⁴ Messay Kebede, 2015.

²⁵ John Sorenson, "History and Identity in the Horn of Africa", *Dialectical Anthropology* 17(3) (1992): 227–251.

Oromo Liberation Front (OLF), Mission, accessed on September 18, 2021. https://oromoliberationfront.org/english/mission/; Ogaden National Liberation Front (ONLF), *Political Programme*, accessed on September 18, 2021. http://onlf.org/?page_id=16

²⁷ Capital, "Swimming Against the Current of Weaponized Humanitarianism: Politicization of Humanitarian Concerns in Ethiopia's Tigray Conflict", August 16, 2021. Accessed on September 20, 2021. https://www.capitalethiopia.com/society/swimming-against-the-current-of-weaponized-humanitarianism-politicization-of-humanitarian-concerns-in-ethiopias-tigray-conflict/

prioritising plans that it has declared its determination to form the state of Tigray on the demise of Ethiopia.

On the other side, advocates of the reunification thesis categorically reject the colonial thesis. Assefa Mehretu, professor emeritus of geography, states that "unfortunately, unlike anywhere in Africa, modern Ethiopia was faced with retrogressive tribalist intellectuals who thrashed Ethiopianism by divisive counternarratives and pushed the country backwards into primordial neo-ethnic centrifuge." Relegating the champions of the colonial thesis as "invidious malwares", Assefa expounds his discontent as follows:

the legendary Ethiopia that was known for millennia as one of the few civilized countries on earth became a recent invention; an exemplar in Africa for its interethnic harmony, Ethiopia became a prison of nationalities; the Ethiopian national territory that was demarcated by standard norms of incorporative contiguity, as any country in Europe, Africa, or elsewhere, became an empire state ruled by Abyssinian colonialists, and a government reputed in all of Africa for its multiethnic character became a tribal cabal of the Amhara/Tigre ruling class [because of those who promote the colonial thesis].²⁹

For the proponents of the reunification thesis, Menelik II is a symbol of national unity, pioneer of modernity, and saviour of all Ethiopians from European colonialism. Under this thesis, Ethiopia is an ancient country that has preserved its statehood and independence uninterruptedly for over 2,000 years.³⁰ Though conventionally nationalism is traced to Western Europe, Donald N. Levine asserts that "markers of nationalism used by most scholars are attested by evidence of Ethiopia's nationhood as early as sixth century C.E."³¹ Furthermore, the movement of the Oromo people towards northern Ethiopia since the 13th century, and the expansion of the Muslim sultanate of Harar to northern Ethiopia in the 16th century, at the very least witness that the process of creating the collective identity and territorial integrity of today's Ethiopia predated the reign of Menelik II.³² The linguistic and cultural communities of Ethiopia have coexisted and shared common history and values for such a long period. The Oromo and all the peoples in the south, west and east have been integral administrative units of historic Ethiopia. The objective of Menelik's expansion towards the south, east and west in the last decades of the 19th century was therefore to reunify and reintegrate the disconnected territories of greater Ethiopia. Those

Assefa Mehretu, "Delegitimization of the Collective Identity of Ethiopianism", *International Journal of Ethiopian Studies* 11(1 & 2) (2017): 45–70, 46.

²⁹ Assefa Mehretu, "Delegitimization of the Collective Identity of Ethiopianism"

³⁰ Minasse Haile, "The New Ethiopian Constitution: Its Impact upon Unity, Human Rights and Development", *Suffolk Transnational Law Review* 20(1) (1996): 1–84, 22.

³¹ Donald N. Levine, "Ethiopia's nationhood reconsidered", *Análise Social* 46(199) (2011): 311–327, 311.

³² Ayele Tariku, "The Annole Monument and Negus Menelik's Expedition towards Arsi: A Bibliographic Essay", *Ethiopian journal of Social Sciences* 4(1) (2018): 1–22, 5.

who believe in the reunification thesis contend that Menelik II has simply restored and consolidated Ethiopia as his predecessors had held it intact for generations. The foundation of the reunification thesis is rooted in the thoughts of Menelik II himself. In his letter to the Europeans, Menelik II mentioned,

while tracing today the actual boundaries of my empire, I shall endeavor, if God gives me the strength, to reestablish the ancient frontiers of Ethiopia up to Khartoum [the capital of present-day Sudan], and as far as Lake Nyamza with all the Gallas and the Arussi country up to the limits of the Somalis, including the Province of Ogaden.³³

Referring to the Europeans' plan to partition Africa following the Berlin conference (1884–1885), Menelik II gave forewarning, saying "if powers at a distance come forward to partition Africa between themselves, I do not intend to be an indifferent spectator." According to his admirers, this message portrays how he was a dedicated Emperor "to defend African territories against European powers." In general, those who advocate the reunification thesis, though they emphasise the triumph of Menelik II, flatly glorify the monarchical regime which ended in 1974. They even sometimes argue that blaming the emperors for whatsoever legitimate or substantial cause is analogous to a treacherous act. In effect, their determination to maintain the imperial hegemony overwhelmed by the Orthodox Christian tradition and dominance has re-emerged after the collapse of the two successive dictatorial regimes, i.e., the Derg (the military clique who ruled Ethiopia for 17 years), and the TPLF/EPRDF leaderships. For them, anyone who claims recognition for and prefers to practice specific cultural, linguistic or religious attributes is a narrow nationalist, tribalist, and an ignorant person who risks the integrity and unity of the country. They are devoted to ensuring the sustainability of the mainstream culture and tradition wherein they can find themselves.

These are the two extreme narratives or interpretations of Ethiopian history that have dominated the ideological orientation of the constitution and the Ethiopian state structure. The political landscape is deluged with these radically schismatic views. In the worst instances, politicians, activists, journalists, YouTubers and facebookers from every corner of society – for example, school dropouts, professors, deacons, bishops, Ustazs, pastors and businesspersons – have unwittingly and recklessly intensified polarization. By overplaying historical legacies on one hand and nurturing a sense of victimhood on the other, they trigger intercommunal conflicts and political instability. Besides countless Facebook pages

³³ Messay Kebede, 2015.

³⁴ Guluma Gemeda, "Dr. Abiy Ahmed's Speeches, Menilek II and the Problem of National Integration in Ethiopia", *Ayyaantuu News*, May 16, 2018. Accessed on January 9, 2021, https://ayyaantuu.org/odaa-post/abiy-ahmed-speeches-menilek-problem-national-integration/

³⁵ Guluma Gemeda, "Dr. Abiy Ahmed's Speeches, Menilek II and the Problem of National Integration

and YouTube channels run by individuals, there are plenty of institutionalised satellite and YouTube (media) channels that espouse both theses, and spread hatred, narcissism and sectarianism. To mention some, those that align with the colonial discourse are Kello Media, Oromia News Network (ONN), Oromo TV, Tigray Media House (TMH), Tigray Media Network, and Oromia Media Network (OMN). On the other hand, the channels voicing the reunification thesis include Mereja TV, Amara Media Center, Ethio Beteseb Media, Adebabay Media, and Menelik Television. As their names/titles signify, these channels have their own ethnolinguistic and religious constituencies.

Only inconsequential space is left for moderate views and opinions in the country's socio-political landscape. The advocates of these theses do not have even the smallest temptation to recognise each other's causes and feelings.³⁶ As a direct reflection of this tension, there are currently 51 active political parties, of which 18 are countrywide (across ethnolinguistic communities) and 33 exist at sub-state level (in the name of ethnolinguistic communities), registered by the National Election Board of Ethiopia.³⁷ The political programmes and strategies of these political parties have lined up with, in different levels and versions, either the colonial thesis or the reunification thesis. Pervasive denial, blaming and rapaciousness have become the cardinal elements of the current Ethiopian socio-political landscape.

3. Constitutional framework for the formation of sub-state autonomies

Following successive mass uprisings, student movements, and serious resistance from the Muslim community, some members of the national army – known as the Derg - orchestrated a successful coup d'état in 1974. The military junta immediately replaced Haileselassie I, the last emperor of the perennial monarchial system. Among the major reforms introduced by the military government were the abolition of monarchial rule, the distribution of land for all farmers irrespective of their social status or lineage, and the declaration of equality of religions – i.e., separation of state and religion. However, the new administration overlooked the question of ethnolinguistic communities and decided to sustain the imperial legacy with a motto – *Ethiopia Tikdem* (meaning Ethiopia first).³⁸

³⁶ Shimelis mulugeta Kene and Solen Feyissa, *The Pitfalls of the Ethiopian Elites' War of Narratives: Part I* (Montreal: McGill Center for Human Rights & Legal Pluralism, 2020), accessed on May 27, 2021. https://www.mcgill.ca/humanrights/article/inclusive-citizenship-and-deliberative-democracy/pitfalls-ethiopian-elites-war-narratives-part-i; Shimelis mulugeta Kene and Solen Feyissa, *The Pitfalls of the Ethiopian Elites' War of Narratives: Part II* (Montreal: McGill Center for Human Rights & Legal Pluralism, 2021), accessed on May 27, 2021. https://www.mcgill.ca/humanrights/article/inclusive-citizenship-and-deliberative-democracy/pitfalls-ethiopian-elites-war-narratives-part-ii

³⁷ National Election Board of Ethiopia, *List of Political Parties* (Addis Ababa: NEBE, 2021), accessed on May 27, 2021. https://www.nebe.org.et/

³⁸ Merera Gudina, "Ethnicity, Democratization and Decentralization in Ethiopia: the case of Oromia", *EASSRR* 20(2) (2004): 11.

Despite the meager leeway available in the socio-political landscape to pursue or advocate ethnolinguistic rights, such as the right to self-rule and to develop cultural, linguistic and religious attributes, in the 1960s and 1970s political groupings based on ethnolinguistic affiliation emerged in an unprecedented manner. The Eritrean Liberation Front (ELF) was established in 1961, and the Oromo Liberation Front (OLF) and Tigrayan People's Liberation Front (TPLF) were launched in 1973 and 1975, respectively.³⁹ The goal of these ethno-nationalist guerrilla bands has been, since their inception, the formation of new states for the peoples they purport to represent. For example, OLF's website portrays that its mission is "to lead the national liberation struggle of the Oromo people against the Abyssinian colonial rule."⁴⁰ Though Aregawi, one of the founders of TPLF who left the front in the mid-1980s, argues that the objective of TPLF was "to secure the self-determination of Tigray within the Ethiopian polity", the current conflict between the TPLF and the central government divulges that their true intention was not what he noted.⁴¹

In May 1991, ethno-nationalist belligerents – the Eritrean People's Liberation Front (EPLF) and those that came under the umbrella of the Ethiopian People's Revolutionary Democratic Front (EPRDF) – were able to overthrow the military regime. Subsequently, while Eritrea became an independent state under the rule of EPLF, EPRDF established a transitional government. Although EPRDF was overwhelmingly dominated by the TPLF, it was an alliance between the Amhara National Democratic Movement (ANDM), the Southern Ethiopian People's Democratic Movement (SEPDM), the Oromo People Democratic Organization (OPDO), and TPLF. The transitional government had two main organs, a multinational parliament and a multinational cabinet, constituted based on a charter that epitomised the aspirations of ethno-nationalist movements and fronts.⁴²

The charter seems to endorse the colonial interpretation of Ethiopian history, as is evident in the opening of the preamble of the charter:

whereas the military dictatorship was, in essence, a continuation of the previous regimes and its demise marks the end of an era of subjugation and oppression thus starting a new chapter in Ethiopian history in which freedom, equal rights and self-determination of all the peoples shall be the governing principles of political, economic and social life and thereby contributing to the welfare of the Ethiopian Peoples and rescuing them from centuries of subjugation and backwardness.⁴³

Martin Plaut, "The Origins of the Eritrean Liberation Front", Eritrea Focus, April 15, 2018. Accessed on January 12, 2021, https://eritrea-focus.org/the-origins-of-the-eritrea-liberation-front/; Oromo Liberation Front – OLF, website, accessed on January 12, 2021, http://oromoliberationfront.org/english/mission/; Aregawi Berhe, "The Origins of the Tigray People's Liberation Front", African Affairs 103(413) (2004): 569–592, 569.

⁴⁰ Oromo Liberation Front – OLF, website.

⁴¹ Aregawi Berhe, "The Origins of the Tigray People's Liberation Front", 569.

⁴² Kinfe Abraham, Ethiopia from the Bullets to the Ballot Box: The Bumpy Road to Democracy and the Political Economy of Transition (New Jersey: Red Sea Press, 1994), 4.

The Transitional Government of Ethiopia, "Transitional Period Charter of Ethiopia", *Negarit Gazeta*, Proclamation No. 1 (1991), preamble.

The proclamation, based on which national/regional self-governments were setup (hereinafter 'the proclamation'), accentuates the success of "nations, nationalities and peoples" of Ethiopia in their "struggle against the political suppression, economic exploitations and cultural oppressions" by the past regimes. 44 The charter unequivocally entitles nations, nationalities and peoples of Ethiopia the freedom to self-determination, including the right to "preserve its identity and have it respected, promote its culture and history, and use and develop its language...; administer its own affairs within its own defined territory and effectively participate in the central government...; and exercise its rights to self-determination of independence".45 In the Amharic version, the notion of 'rights to self-determination of independence' is articulated more clearly to mean separation and formation of new states. The proclamation establishes fourteen national/regional self-governments for sixty-four ethnolinguistic communities or nations, nationalities, and peoples. 46 However, each of the ethnolinguistic communities has the right to form autonomous self-administration within the national/regional self-governments. The charter, cumulatively with the proclamation, transformed Ethiopia into a federation, though neither of the legal instruments use the term 'federation'.

In 1995, the constitution of the Federal Democratic Republic of Ethiopia (hereinafter the constitution) came into force. Asseverating that the doer, subjects and beneficiaries of the constitution are primarily nations, nationalities and peoples, like its predecessor, i.e., the transitional period charter, the preamble of the constitution begins with the phrase "we the nations, nationalities and peoples of Ethiopia".⁴⁷ The preamble also expounds that the main objectives or goals of the constitution are to "guarantee a democratic order for the nation, nationalities and peoples of Ethiopia." In other words, it is the nations, nationalities and peoples of Ethiopia who adopt and own the constitution.

Under article 39 (5) of the constitution, a "nation, nationality and people" is defined as "a group of people who have or share a large measure of a common culture or similar customs, mutual intelligibility of language, belief in a common or related identities, a common psychological make-up, and who inhabit an identifiable, predominantly contiguous territory." That is, common culture, custom, language, believe in common identity, common psychological make-up, and contiguous territory are the prerequisites that a group of people must satisfy to acquire the status of nation, nationality, and people under the constitution. These elements in one way or another refer to an ethno-linguistic community. That is why in this essay both 'nations, nationalities and peoples' and 'ethnolinguistic communities'

The Transitional Government of Ethiopia, "A proclamation to Provide for the Establishment of National/ Regional Self-Governments", *Negarit Gazeta*, Proclamation No. 7 (1992), preamble.

⁴⁵ The Transitional Government of Ethiopia, Art. 2.

⁴⁶ The Transitional Government of Ethiopia, Art. 3.

⁴⁷ Ethiopia, "The Constitution of Federal Democratic Republic of Ethiopia", *Federal Negarit Gazeta*, Proclamation No.1, (1995), preamble.

are used interchangeably. The constitution does not, however, make a distinction between nation, nationality and people in terms of their definition and their entitlement/privilege.

The constitution confers prime rights to nations, nationalities and peoples, such as the right to unconditional self-determination including secession, the right to a full measure of self-government, the right to common ownership of land, the right to development, the right to develop, express and promote its own language and culture, and the right to preserve its history. Any nation, nationality and people within the nine sub-states named in the constitution has the right to set up an autonomous local administration within the sub-states, has the right to establish a separate sub-state whenever it deems it necessary, and has the right to separate from the federation and form its own sovereign state. According to article 46(2), sub-states shall be delimited based on the settlement patterns, language, identity, and consent of the people concerned. Regardless of demographic disparity and difference in terms of territorial size and resources, all sub-states "have equal rights and powers."48 This constitutional guarantee is intended to reinforce equality between ethnolinguistic communities. So, potentially, there may be as many sub-states as the number of ethnolinguistic communities. Parallel with the federal government, substate governments have their respective legislative, judicial and executive branches to exercise the power and mandate allocated to them by the constitution and their respective constitutions. All these bring out how issues related to nations, nationalities and peoples - ethnolinguistic communities - control the constitutional/political discourse in Ethiopia.

Pursuant to Maria Ackrén, territorial autonomy is "a geographically defined area which differs from other sub-regions (like municipalities, federal states, etc.) in a specific country and has received special status with legislative and/or regulatory (administrative) powers." However, what matters is the scope of autonomy conferred upon the sub-states within their respective geographical jurisdiction, not the difference between sub-regions in terms of both geographic and demographic size, entitlement or resource. Territorial autonomy is therefore appropriately defined as a constitutional arrangement whereby ethnolinguistic or cultural communities "can express ...[their] distinct identity and run ...[their] own affairs in certain spheres." Under this conception, each of the federating units of the Ethiopian federation could be deemed as territorial autonomies endowed with unqualified authority to self-administration, including secession. It is thus reasonable to conclude that territorial autonomy is the fundamental constitutional mechanism used to resolve identity-related political issues and the ensuing crisis in Ethiopia.

⁴⁸ Ethiopia, "Constitution of Federal Democratic Republic of Ethiopia, Art. 47(4).

⁴⁹ Maria Ackrén, *Conditions for Different Autonomy Regimes in the World – A Fuzzy-Set Application* (Åbo: Åbo Akademi University Press, 2009), 20.

⁵⁰ Maria Ackrén, Conditions for Different Autonomy Regimes in the World, 19.

4. Key features of the current Ethiopian polity

Hereunder, I present the key constitutional arrangements of the Ethiopian polity, focusing on the formation and operationalization of territorial autonomous administrations. The pitfalls of each of these arrangements are also explained.

4.1 The formation of sub-states and the state

The constitution apportions powers and responsibilities to the central/federal government and sub-state governments. A residual or undesignated power is reserved to sub-states. The central government must respect the powers and responsibilities of sub-state governments and vice versa. However, when it deems it necessary, the central government is free to delegate part of its power and function to sub-state governments. The constitution underscores that intervention of the central government in sub-state governments is prohibited unless in a few exceptional circumstances. Constitutionally recognised circumstances that justify intervention of the central government in sub-states are: first, when any of the sub-states endanger the constitutional order and if the intervention is initiated by the House of the Federation; second, when the central government is invited by a sub-state to control a security situation within the sub-state's territory; third, when a state of emergency is declared according to article 93 of the constitution; and fourth, when a sub-state is unable to stop violations of human rights occurred within its territory and if the intervention is approved by a joint session of the House of Federation and the House of Peoples Representatives, i.e., the two federal houses.⁵¹ These four circumstances are practically associated with gross violence and abnormal situations that apparently warrant security measures to be taken by the central government. Apart from these, the constitution does not provide a robust and binding mechanism or network of cooperation between the central and sub-state governments, or horizontally between sub-state governments, to jointly deal with structural problems across the sub-states, such as matters related to governance, justice, unemployment, accessibility of the bureaucracy, sustainable use and preservation of resources, and environmental protection.

Under article 9 of the constitution, nations, nationalities and peoples are endowed with sovereign power and the constitution is referred to as a supreme legal instrument whereby their sovereignty is expressed. Based on these premises, sub-states which are demarcated based on ethnolinguistic affiliation are sovereign administrations. This status of the substates is reiterated by article 2 of the constitution, which reads "the territorial jurisdiction

⁵¹ Ethiopia, "Constitution of Federal Democratic Republic of Ethiopia, Arts. 62(9), 51(14), Art. 93, 55(8) & 55(16).

of Ethiopia shall comprise the territory of the members of the Federation..." That is, Ethiopian statehood is constitutionally demoted as just a collection of sub-states, while, in particular, mono-ethnolinguistic sub-states acquire indivisible sovereignty. To recap with Minasse's words, "the constitution can be said to have juridically extinguished Ethiopia as a sovereign entity and created nine sovereign tribal entities in its place in the same territory."⁵²

Since the introduction of a federal system that has created autonomous territorial substates, EPRDF ruled the country, both the centre and all the sub-states, using sheer force, divide and rule strategy, and political gambling. Almost all the sub-state governments were satellites of the central government. In 2015, the Oromo youth – known as *qeerroo* – launched a well thought out and organised anti-government movement throughout Oromia, the largest sub-state in terms of population size and geographical breadth. In parallel fashion, the Amhara youth (fanno) started anti-government demonstrations across the Amhara sub-state. It was also not uncommon in other sub-states to see protests, particularly in urban areas. Between 2017 and 2018, about 264 violent anti-government protests were recorded in Oromia alone.⁵³ After several unsuccessful attempts to suppress these youth-led movements, Prime Minister Hailemariam Desalegn resigned in 2018. Since then, Abiy Ahmed has assumed the premiership position.

As part of his reform enterprise, Abiy maneuvered the dissolution of EPRDF and its members (except the TPLF). A new political party, known as the Prosperity Party (PP), which is in essence designed as a national (statewide) party with offices in all the sub-states, was created in December 2019.⁵⁴ PP, as the successor of EPRDF, has taken up government power at the center and in all the sub-states, except Tigray. EPRDF's 'revolutionary democracy', entrenched for about three decades, has come to an end.

The leaders of TPLF refused to dissolve TPLF and take part in PP. While they blame PP for inclining to the reunification narrative and neoliberalism, PP accused TPLF of being stubborn and resistant to change and transformation. Amid such tension between the central government and the Tigray sub-state government, due to the Covid-19 pandemic, the central government postponed the parliamentary and sub-state council election scheduled for 29 August 2020. Though this decision by the central government was legally not contentious, it was loudly criticized and rejected by TPLF leaders and some other minor opposition

⁵² Minasse Haile, "The New Ethiopian Constitution: Its Impact upon Unity", 21–22.

Mulualem Daba, "Qeerroo Fi Qarree': The Engine of Current Transition in Ethiopian Politics", International Journal of Scientific and Research Publications 9(5) (2019): 534–543, 540.

⁵⁴ *Borkena*, "Ethiopia's Prosperity Party officially formed in the capital Addis Ababa", December 1, 2019, accessed on January 9, 2021, https://borkena.com/2019/12/01/ethiopias-prosperity-party-officially-formed-in-the-capital-addis-ababa/

⁵⁵ Kurt Davis Jr., "Ethiopia delays elections: Is COVID a valid excuse?" *theafricareport*, August 18, 2020, accessed on January 10, 2021, https://www.theafricareport.com/37721/ethiopia-and-cote-divoire-delay-elections-is-covid-a-valid-excuse/

political parties. Debretsion Gebremichael, the then governor of Tigray and chairperson of TPLF, reasserted their position, saying, "we will never back down for anyone who is intending to suppress our hard-won right to self-determination and self-rule."56 Unveiling their readiness to defend their sub-state, he also said "we have prepared our army, our militia and our special force. Our preparation is aimed at averting war, but if we are to fight, we are ready to win."57 TPLF then established its sub-state election coordinating organ and conducted the election on 9 September 2020 whereby it won 98.5 percent of the 190 seats of the Tigray council.⁵⁸ Upon establishing their new sub-state government, TPLF leaders rendered the Abiy administration unconstitutional and illegitimate on the ground that it had outstayed its term. For them, Covid-19 is not a sufficient reason for postponing elections. On the other hand, the Abiy administration levelled the Tigray election null and void and decided to freeze the federal budget that would have been directly allocated to the Tigray government.⁵⁹ In an interview with the state media, Abiy compared the newly established Tigray government to "squatters illegally building a shanty house on land they didn't own."60 After provocatively blaming each other for illegally holding government power, the tension between the central government and Tigray leadership reached at its climax and a war broke out when TPLF forces attacked the Northern command of the National Defense Force of Ethiopia in November 2020.

Thus, this war, taken as a law enforcement operation by the central government, is concerning not only because of its comprehensive causalities but also it is a signal about the future. The installation of a functional constitutional mechanism is fundamental to cool down tensions that may come forth between the central government and sub-state governments, and between sub-state governments themselves. Otherwise, the future may be even worse than the current situation whenever the central government and sub-state administrations are presided over by adversarial parties. An integrated and principled relationship between the center and the sub-states is also a guarantee to sustain the autonomy of sub-states.

Desta Gebremedhin, "Tigray crisis: Why there are fears of civil war in Ethiopia", BBC, November 13, 2020, accessed on January 10, 2021, https://www.bbc.com/news/world-africa-54826875

⁵⁷ Desta Gebremedhin, "Tigray crisis: Why there are fears of civil war in Ethiopia",

Samuel Getachew, "A controversial regional election win in Ethiopia has raised the stakes for its federal system", *Quartz Africa*, September 13, 2020, accessed on January 9, 2021, https://qz.com/africa/1902614/ethiopia-tigray-tplf-party-wins-controversial-election/

⁵⁹ Samuel Getachew, 2020.

⁶⁰ Samuel Getachew, 2020.

4.2 Protection of minorities

Under the constitution, there is no mention of differential recognition or assigning of rights and privileges to minority ethnolinguistic communities. All ethnolinguistic communities are equally endowed with the unqualified right to self-administration in any manner they prefer, irrespective of their population size. However, the mismatch between the constitutional guarantees and the practice is evident.

In the Ethiopian context, there are two types of minority ethnolinguistic communities: indigenous minorities and dispersed minorities. For example, 'X' ethnolinguistic community may be native to a certain sub-state territory, but it is a minority vis-a-vis other indigenous groups in the territory. Second, 'Y' ethnolinguistic community may be a minority in a specific sub-state territory and, though arguably, a latecomer to that sub-state perhaps because it spreads across territorial jurisdictions. While in the first context 'X' is described as an indigenous minority, in the second, 'Y' is known as dispersed minority. The following paragraphs examine how and to what extent these two types of minorities enjoy and practice their comprehensive constitutional right to self-rule/administration.

Indigenous minorities

There are over eighty ethnolinguistic communities in Ethiopia, but the number of substates (territorial autonomies) are so far only eleven (the constitution has named nine substates, the Sidama sub-state and the Southwest Ethiopia Peoples sub-state were established in June 2020 and November 2021, respectively)⁶². It is therefore clear that the delimitation of these sub-states does not in any way ensure ethnolinguistic homogeneity within the territorial jurisdiction of the sub-states. Dozens of ethnolinguistic communities inhabit the Southern Nations, Nationalities and Peoples (SNNP) sub-state alone.⁶³ Critics have further argued that the constitution's recognition of the unconditional right to self-administration based on territorial autonomy is inherently flawed and infeasible.⁶⁴

Inspired, and somehow offended, by the separation of Sidama from the SNNP sub-state, currently about ten of the majority ethnolinguistic communities indigenous to the SNNP sub-state, including Wolaita, Gurage, Gamo and Goffa, ferociously claim separate sub-state autonomy. Within the SNNP sub-state, there are two levels of semi-autonomous local

⁶¹ Beza Dessalegn, "Experienting with Non-Territorial Autonomy: Indigenous Councils in Ethiopia", *Journal on Ethnopolitics and Minority Issues in Europe* 18(2) (2019): 3–23, 7–8.

⁶² Ethiopian Government portal, "Sidama Regional State", accessed on November 23, 2021, https://www.ethiopia.gov.et/regional-states/sidama-regional-state/; Ethiopian News Agency, "South West Ethiopia Peoples Region Officially Established", November 23, 2021, accessed on November 16, 2021, https://www.ena.et/en/?p=30764

⁶³ Beza Dessalegn, "The Quest for Identity and Self-Determination in the SNNP Region of Ethiopia", *Mizan Law Review* 13(1) (2019): 63–91, 64.

⁶⁴ Minasse Haile, "The New Ethiopian Constitution: Its Impact upon Unity", 11–12 & 20–23.

Elias Gebreselassie, "Hope, uncertainty as Ethiopia's Sidama gear up for statehood vote", *Aljazeera*, November 19, 2019, accessed on January 12, 2021, https://www.aljazeera.com/news/2019/11/19/hope-uncertainty-as-ethiopias-sidama-gear-up-for-statehood-vote

administrations: *Zone* administration and *Werda* administration. While an ethnolinguistic community that has a relatively large population size has a *Zone* administration, one with a relatively lesser population size establishes a *Wereda* administration. Those ethnolinguistic communities that cannot independently form *Wereda* administrations constitute a cluster under a *Wereda* administration, and these *Wereda* administrations are clustered under the umbrella of a *Zone* administration. This arrangement based on population size of ethnolinguistic communities and their territorial breadth affirms that the unconditional promise of self-rule is more rhetorical than practical.

An ethnolinguistic community clustered under a Wereda administration claims a separate Wereda administration, an ethnolinguistic community which has a separate Wereda administration demands a Zone administration, and the ethnolinguistic community under a Zone administration requests a sub-state autonomy. The driving factors for such endless claims include the growth of a hyperbolic sense of victimhood, obsession with self-identification and distinct identity, and a desire to get more resources, power and political space. As the relative minority and majority ethnolinguistic communities do not practically exercise an equal level of autonomy/self-administration, the quest for greater autonomy/self-administration, sometimes backed by violence and political conspiracy, inevitably perpetuates itself and plagues the security and socio-economic situation of the country. In 2019 alone, violent protesters, aggrieved by government's reluctance to approve their claim to set up a sub-state, killed more than thirty-five people, vandalized private and public properties (such as houses, vehicles, hotels and factories), and caused hundreds to flee. 66 The Sidama ethnolinguistic community's claim for a sub-state autonomy was as old as the constitution. But it has been recognized recently after a series of demands and violent protests that overshadowed other socioeconomic and political aspects of the Sidama people became a habitual phenomenon. Currently, there is a tendency that ethnolinguistic communities grouped in a sub-state have been requesting sub-state status in the same manner as the Sidama. In early 2020, over 34 people were killed, and hundreds injured in a clash between protesters from the Wolaita community and government forces.⁶⁷

Dispersed minorities

The constitution stipulates an equal right to self-rule for all ethnolinguistic communities. However, this right is qualified and narrowed by sub-state constitutions. Article 2 of the Beneshangul Gumuz sub-state constitution states that, though it recognizes the inhabitation

⁶⁶ Addisstandard, "News: Death Toll Rises to 'More Than 35" In Sidama Zone, Hundreds Displaced After Losing Properties", July 22, 2019. Accessed on January 12, 2021, https://addisstandard.com/news-death-toll-rises-to-more-than-35-in-sidama-zone-hundreds-displaced-after-losing-properties/

⁶⁷ Elias Meseret, "At least 17 killed in political unrest in southern Ethiopia", *AP News, August 14, 2020*. Accessed on January 12, 2021, https://apnews.com/article/africa-arrests-ethiopia-abiy-ahmed-11f27003ca 7eaaa74e087597eb73ded9

of other ethnolinguistic communities within the sub-state, the owners of the sub-state are the Berta, Gumuz, Shinasha, Ma'o and Komo ethnolinguistic communities. With similar orientation, article 8 of the Oromia sub-state constitution reads: "sovereign power in the region resides in the people of the Oromo nation [i.e., the Oromo ethnolinguistic community] and the sovereignty of the people is exercised through their elected representatives and direct democratic participation." That is, the non-Oromo ethnolinguistic communities in the Oromia sub-state do not have equal rights with the Oromo. Besides, this provision of the constitution impliedly denies the non-Oromo ethnolinguistic communities the right to elect and the right to be elected in the Oromia sub-state. The ethnolinguistic composition of the Harari sub-state is Oromo 52.3%, Amhara 32.6%, Harari 7.1%, and Guragies 3.2%.69 Nevertheless, the sub-state /territorial autonomy belongs to the Harari ethnolinguistic community. Most of the sub-states that are inhabited by various ethnolinguistic communities have adopted only one or two official languages. Even though they do not go far to constitutionally marginalize ethnolinguistic communities that are not considered as native, their bureaucracy and justice system, including the security apparatus, are unaccommodating and hostile.

Sometimes, members of dispersed communities might not associate themselves with any ethnolinguistic community, or may find themselves in two or more ethnolinguistic communities and do not want to be confined in one community. These individuals are much more vulnerable to exclusion by the sub-state bureaucracy as they are regarded as anti-constitutional. In general, dispersed minorities are susceptible not only to bureaucratic mistreatment but also to mob attacks. In September 2018, the Oromo youth ('Qeerroo') ruthlessly killed 65 non-Oromos by beating them with sticks and rocks in Burayu – on the western outskirts of Addis Ababa, but within the sub-state territorial jurisdiction of Oromia. Many more were wounded, and private properties were looted. On another occasion, during two days of violent protests across Oromia following a post on Facebook by Jawar Mohammed, a prominent Oromo activist and politician who is now in custody, alleging that police encircle his home during the night and try to withdraw his government security detail, 67 innocent civilians, the majority of them ethnic-Amhara, were killed. Many others sustained heavy injuries, and houses and vehicles were vandalized. In the aftermath of the assassination of Hachalu Hundessa on 29 June 2020, a famous Oromo

⁶⁸ Oromia, "The Revised Constitution of Oromia Region", *Megeleta Oromia*, Proclamation No.46, (2001), Art. 8.

Ethiopian Government Portal, "The Harari People's National Regional State", accessed on January 11, 2021, http://www.ethiopia.gov.et/harari-regional-state

⁷⁰ France 24, "Toll from Ethiopia bloodshed at least 58: rights group, source", September 19, 2018. Accessed on January 8, 2021, https://www.france24.com/en/20180919-toll-ethiopia-bloodshed-least-58-rights-group-source

⁷¹ Reuters, "Violence during Ethiopian protests was ethnically tinged, say eyewitnesses", October 27, 2019. Accessed on January 8, 2021, https://www.reuters.com/article/us-ethiopia-politics-idUSKBN1X50BC

singer identified by his revolutionary songs, violent protests that shook the Oromia substate caused the deaths of 215 civilians, 9 police officers, and 5 members of the militia.⁷² Hotels, factories, private schools, supermarkets, private houses, vehicles and other properties were either looted or burnt, to a total value of over Birr 4.6 billion (over USD 153 million).⁷³ In all of these situations, the primary targets were non-Oromos residing in the Oromia sub-state. Since 2019, the killing of dozens of individuals, mainly identified as Amharas who live in the Beneshangul Gumuz sub-state, has become weekly news. Just to mention a few instances, on January 12, 2021, over 80 individuals and, on December 23, 2020, over 100 individuals, mainly from the Amhara ethnolinguistic community, were killed in cold blood.⁷⁴

4.3 Sub-state security apparatus

Under article 52(2)(g) of the constitution, sub-state governments have the power to establish a "police force, and to maintain public order and peace within the state [i.e., sub-state]." This is the constitutional green light for sub-state governments to recruit soldiers and organize their respective armies. Sub-state governments have organized two types of police forces: regular police forces and special police forces. While the regular police force focuses on crime investigation and prevention, mostly through community policing, the special police force is mandated to crackdown on protests and ensure peace and order. After the Tigray special police force – TPLF's leadership and its supporters later called it the Tigray Defense Force (TDF) – attacked the Northern Command of the Ethiopian National Defense Force (ENDF) on November 4, 2020, and the subsequent conflict expanded in the northern parts of the country, the legality/constitutionality, status vis-à-vis ENDF and other federal security agencies, appropriateness and importance of sub-state special forces have become more debatable than ever before.

⁷² Elias Meseret, "Ethiopia's week of unrest sees 239 dead, 3,500 arrested", *The Washington Post*, July 8, 2020. Accessed on January 8, 2021, https://www.washingtonpost.com/world/africa/ethiopias-week-of-unrest-sees-239-dead-3500-arrested/2020/07/08/8eb30952-c100-11ea-8908-68a2b9eae9e0_story.html

⁷³ Fana Broadcasting Corporate, "Federal, Oromia Attorney Generals Bring Criminal Charges Against 5, 728 Individuals", September 26, 2020. Accessed on January 8, 2021, https://www.fanabc.com/english/federal-oromia-attorney-bring-criminal-charges-against-5-728-individuals/

⁷⁴ Reuters, "Over 80 killed in attack in Ethiopian border region with Sudan – state rights commission", January 14, 2021. Accessed on January 14, 2021, https://www.reuters.com/article/uk-ethiopia-violence/over-80-killed-in-attack-in-ethiopian-border-region-with-sudan-state-rights-commission-idUSKBN29I2QA; ALJAZEERA, "Gunmen kill dozens in Ethiopia's Benishangul-Gumuz: Rights body", December 23, 2020. Accessed on January 14, 2021, https://www.aljazeera.com/news/2020/12/23/gunmen-kill-dozens-in-ethiopias-benishangul-gumuz-rights-body

In general, sub-state special police forces are viewed as threats to national unity and security. When Muferyat Kamil, former Minister of Peace, presented her ministry's quarterly report to the parliament, she underscored that the parliament "should prepare a legal framework" to regulate sub-state special police forces for they are unconstitutional in their current status.⁷⁵ Jaylan Abdi, the Spokesperson of the Federal Police Commission, enounces how these forces might risk the integrity of the state if one day they become servants to sectarian politics.⁷⁶ According to Jaylan, the level of their training, types of armaments they are equipped with, their actual numbers, specific mandates/tasks and accountability are not known by the federal government. According to reports, the Tigray sub-state government had recruited as many as 250,000 soldiers under their special police force department.⁷⁷ If the Tigray sub-state government could recruit as many soldiers as this out of its 6 percent of the national population, one can easily imagine how many the Oromo and Amhara sub-states could mobilize from their 34 percent and 27 percent, respectively, of the 116 million Ethiopians. Given that tensions are mounting between substates because of the ever-increasing attack on dispersed communities and the problems related to sub-states border delimitation and identity questions, the argument that substates special police forces have become threats to national unity and harmony is sound and timely.

4.4 Demarcation between sub-states

According to article 46(2) of the constitution, borders between sub-states should be demarcated based on "settlement patterns, language, identity and consent of the people [i.e., the ethnolinguistic community] concerned." Furthermore, article 39(3) of the constitution states that every ethnolinguistic community "has the right to a full measure of self-government which includes the right to establish institutions of government in the territory that it inhabits." Here, demarcating boundary and determining territorial jurisdiction of the sub-state seems only a concern that may arise when a certain ethnolinguistic community exercises its right to form an autonomous self-administration. On the other hand, as per article 39(5), for a certain group of people to be considered as an ethnolinguistic community entitled with sovereign power and an unconditional right to self-administration, including secession, it shall "inhabit an identifiable, predominantly contiguous territory", besides meeting other requirements. This is to mean that 'identifiable and predominantly contiguous

⁷⁵ Brook Abdu, "Regional Special Forces: threats or safeties?" *The Reporter*, January 2, 2021. Accessed on January 12, 2021, https://www.thereporterethiopia.com/article/regional-special-forces-threats-or-safeties

⁷⁶ Brook Abdu, "Regional Special Forces: threats or safeties?"

⁷⁷ Brook Abdu, "Regional Special Forces: threats or safeties?"

territory' is an intrinsic and defining element of an ethnolinguistic community – known as nations, nationalities and peoples. From this provision, it can be deducted that the issue of border demarcation between sub-states is a question of identity. For a group of people to claim the status and entitlements recognized to nations, nationalities and peoples, they have to demonstrate that they have permanently occupied a particular territory. Hence, issues related to borders between sub-states should be dealt with in such a manner that the question of identity and determination of indigeneity is addressed. As in the case of any other identity-related questions, the claim for redrawing border between sub-states or other semi-autonomous administrations is to be raised by the concerned group of people (i.e., ethnolinguistic community), and thus such matters of boundary demarcation should be addressed by determining the indigenous ethnolinguistic community over the territory/ province at issue. Nevertheless, depoliticization of the issue must be the first step to enable the constitutional mechanism to function judiciously.

Under article 48 of the constitution, border disputes between sub-states "shall be settled by agreement of the concerned [sub-]state." If the sub-states are unable to resolve the dispute, the House of Federation, the upper house of the parliament which is occupied by representatives of ethnolinguistic communities, shall decide on the dispute based on "settlement patterns and the wishes of the peoples concerned." The first solution, i.e., agreement of the sub-states, may be interpreted broadly to the extent that it includes all types of dispute resolution methods and the involvement of third parties. This way of addressing the conflict helps to take into consideration extra border issues that are essential to ease off the border disputes. The second strategy designed to resolve border disputes between sub-states needs the exploration and redefinition of ethnolinguistic communities that are parties to the border dispute. Yet, whether the 'settlement patterns and the wishes of the peoples concerned' criteria based on which the House of Federation decides necessitates the determination of indigeneity, or just reckoning the present demographic composition of the ethnolinguistic communities, is dubious and imprecise. Furthermore, whether the 'wishes of the people concerned' does mean referendum or any other form of public participation is not clear.

In the Ethiopian federation, there are border issues between almost all neighboring substates. Particularly, the border disputes between Somali and Oromia sub-stats, Oromia and SNNP sub-states, Somali and Afar sub-states, and Amhara and Tigray sub-states, have so far claimed hundreds of lives and displaced millions. In April 2021, over 100 people were killed in a border dispute between the Somali and Afar sub-states.⁷⁸ The conflict along the border of the Guji Oromo (Oromia sub-state) and the Gedeo of the SNNP sub-state in 2018

⁷⁸ *Aljazeera*, "Over 100 killed in clashes in Ethiopia's Afar, Somali regions", April 7, 2021. Accessed on November 21, 2021, https://www.aljazeera.com/amp/news/2021/4/7/dozens-killed-in-clashes-in-ethiopias-afar-somali-regions? twitter impression=true

caused the displacement of close to one million people, the death of many, and destruction of properties.⁷⁹ In 2018, concerned by this predominant issue, the Federal government has established an independent advisory organ, called 'Administrative Boundary and Identity Issues Commission'. The objective of the Commission is to investigate boundary disputes between sub-states and issues related to identity and self-rule, and to provide recommendations on how to resolve such disputes and issues to "the public, the House of Federation, the House of People's Representatives and the Executive Organ."⁸⁰ Besides its other activities, for example dealing with sub-state governments, the commission has launched a 20 million Birr (the equivalent of USD 655, 730) project to assess boundary disputes, identity questions, and issues of self-governance in Ethiopia, and to come up with solutions. Seventy researchers from different universities have taken part in the project. So far, neither the constitutional arrangement nor recommendations of the commission has resolved the ongoing border conflicts between sub-states and other self-administrations within the sub-states.

4.5 Political turmoil and conflicts

Politically induced conflicts proliferate across the country. Asnake recaps the situation by saying, "ethnic federalism/autonomy not only reifies and consolidates ethnic identity but also provides incentives for ethnic entrepreneurs to engage in a politics of division (sectarianism) and magnification of grievances leading to recurrent violence." Widespread hypocritical views concerning ethnolinguistic identity have created a volatile situation conducive for intercommunal violence and political instability. In particular, powerbrokers and ethnolinguistic narcissists have intensified extreme political narratives by diverting and misusing identity-related claims. Ethiopia ranks 11th out of 179 countries in the 2021 Fund For Peace (FFP) Fragile States Index (FSI). Among the indicators, 'group grievance' and 'fractionalized elites' (both cohesion indicators) respectively represent 9.5 and 9.2 –

⁷⁹ United Nations, Office for the Coordination of Humanitarian Affairs, *Ethiopia: Escalating inter-communal violence displaces close to 1M people*, June 27, 2018. Accessed on November 20, 2021, https://www.unocha.org/story/ethiopia-escalating-inter-communal-violence-displaces-close-1m-people; *Ethiopian Current Affairs Discussion Forum (ECADF)*, "Ethiopia: West Guji – Gedeo Conflict Displacement", May 10, 2018. Accessed on November 21, 2021, https://ecadforum.com/2018/05/10/ethiopia-west-guji-gedeo-conflict-displacement/

⁸⁰ Federal Democratic Repyblic of Ethiopia, "Administrative Boundary and Identity Issues Commission Establishment Proclamation", *Federal Negarit Gazeta*, Proclamation No.1101 (2018), Art. 4.

⁸¹ *ENA*, "Commission Conducting Assessment to Identify Problems of Administrative Boundary, Identity Matters", accessed on January 12, 2021, https://www.ena.et/en/?p=17994

Asnake Kefale, "Ethnic Decentralization and the Challenges of Inclusive Governance in Multiethnic Cities: the case of Dire Dawa, Ethiopia", *Regional and Federal Studies* 24(5) (2014): 589–605, 591.

Fund For Peace (FFP), "Fragile States Index: Global data", accessed on November 20, 2021, https://fragilestatesindex.org/global-data/

which are the first and third highest scores. Though there are critical arguments regarding the appropriateness and validity of this assessment of state fragility, its role in gesturing the general trend of a state's security situation and political (in)stability is undeniable.

Ethiopia Peace Observatory (EPO), a project of the Armed Conflict Location and Event Data (ACLED), reported 2,244 incidents of organized political violence, 851 incidents of violence targeting civilians, and 735 demonstrations that occurred between April 2018 and November 2021. And In particular, non-Oromos in the Oromia sub-state and "non-indigenous" peoples in the Benishangul-Gumuz sub-state are being persecuted – over three hundred killed and hundreds of thousands displaced – by regional armed groups. 'Shene' – an armed group in Western Oromia, which is allegedly responsible for the killing of hundreds and displacement of many – and TPLF – which undertakes guerrilla warfare – have recently been labelled as terrorist entities by the federal legislature. Intercommunal tension and sometimes disputes have become a common phenomenon. All the atrocities and largescale national security threats are, one way or the other, the manifestations of the deficiency of the constitutional system, and symptoms for the need to consider nonterritorial autonomy as a complementary approach to address identity-related questions.

5. Conclusion and way forward

The Ethiopian federation, which has introduced territorial autonomy/sub-states as an approach to deal with diversity and the question of identity, is the result of ethnolinguistic-nationalist movements. It is the reflection of the colonial thesis on the interpretation of Ethiopia's past. Nonetheless, after 26 years of the adoption of the constitution and the introduction of territorial autonomy, identity-based claims, protection of minorities, delimitation of territorial jurisdictions of sub-states, and issues related to the security apparatus of sub-states are still the epicenter of political debate across the country. The stiff competition, polarization and activism not only cause and fuel inter-communal tensions, but also obstruct the justice system that would have protected the rights of individuals as citizens as well as members of ethnolinguistic communities. The surge of inter-communal conflicts, myriad of identity-related questions, and sharp rise in sectional irredentism throughout the country have proved the failure of the constitutional model, i.e., territorial autonomy, to maintain peace and rule of law by resolving the chronic historical backlogs. However, it does not mean, as alleged by many, that the constitution is as such the cause of conflict.

⁸⁴ Ethiopia Peace Observatory (EPO), "Ethiopia", accessed on November 24, 2021, https://epo.acleddata.com/dashboard/

Tizita Mengistu, "Designating TPLF and Shene as terrorists capacitates law of enforcement – Attorney General", *Walta Media and Communication*, May 11, 2021. Accessed on May 25, 2021, https://waltainfo.com/ag-says-designating-tplf-shene-as-terrorists-aimed-at-stopping-terroristic-activities/

The two extreme narratives about Ethiopia's past make political compromise almost impossible. Apart from pursuing their respective version of Ethiopia, the champions of these dispositions mock and revile each other in the name of the ethnolinguistic communities they claim to represent. This heightens inter-communal animosity that ultimately subjects, not least, members of dispersed ethnolinguistic communities to persecution. Even though there is no way to undo whatever happened in the past, these narratives curiously predominate in the contemporary political discourse in Ethiopia as if there are no other issues that need to be addressed. Any claim to refashion the lopsided monarchial hegemony in the status quo is not only unapologetic hypocrisy, but also a serious threat to the security and unity of Ethiopia. Similarly, any attempt to create an exclusionary hegemonic regime based on retrospective narratives is a glaring arrogancy that should never be tolerated in the 21st century.

To resolve this deep-rooted conundrum located in the formation of its statehood, Ethiopia must go through a comprehensive and genuine transitional justice process focused on the application of reconciliation, truth finding, amnesty, prosecution, reparation, and state building. Re-legitimising and consolidating the state must be the principal objective of the transitional justice process that should ultimately culminate in constitutional, legal and policy reconsiderations and revision. Neither should the process be taken just as an elite bargaining strategy for power sharing, nor should it be exclusively used for political settlement and propitiation among the elite groups. To undertake an effective transitional justice process that could achieve sustainable peace, efficient governance, and an intercommunal harmonious relationship in the Ethiopian context, the following five guidelines are recommended. First, a functional independent institution must be established with a clear mandate to facilitate, coordinate, and administer the transitional justice process. Second, to grapple comprehensively with past injustices, competing narratives and outstanding socio-cultural and political issues by employing the different components of transitional justice, the process should be scrupulous and unhurried, and may be extended for years. To run a faddish, hasty and unthoughtful negotiation cannot resolve the country's protracted and complex problem, if not exacerbate it. Third, a stable and peaceful environment is a prerequisite for an effective transitional justice process. The incumbent government must therefore be allowed to exercise a monopoly of power and ensure law and order across the country. Fourth, utmost caution must be taken throughout the process to circumvent the influence of spoilers. Fifth, though conducting transitional justice is a costly process, there should not be international interference in the process except for technical or logistics support that may be provided upon invitation.

In the current situation where intergroup hostility and sectoral militarization have proliferated, any other step to subvert the existing government, both at the center and

sub-states, may mean risking anarchy and a massive humanitarian crisis that would claim hundreds of thousands of lives, if not millions. Thus, unconditional commitment to refrain from any act of violence and outright compliance with the constitution, the laws and institutions of the country must be an eligibility requirement to take part in the transitional justice process.

Can Federalism Achieve the 'Purpose of Government'? Ethnic Crisis and Protection of Life and Property in Nigeria

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Abstract

The study resulted from the writers' observation on raising cases of inter-ethnic crisis, insecurity, and the multiplier effects of loss incurred by residents in Nigeria. Loss of lives and properties possibly complicates an uncertainty in inter-ethnic relationships and insecurity in the country. Thus, while studies have examined the internally displaced persons (IDPs), and insecurity in the country, there is no study that has bridged the gap between the resettlement of residents on the one hand, and sustainable inter-ethnic residents' crisis management in Nigeria on the other. The study highlights the approaches of government for the resettlement of residents in residence in post-conflict situations, and identifies the reasons why the approaches of government on conflict prevention and management have failed to ensure residential rights in Nigeria. A descriptive research design was adopted, and qualitative data was sourced from secondary materials including newspaper articles, textbooks, and published and unpublished research studies. Cases of inter-ethnic clashes were purposively selected and analysed across the six geo-political zones in the country. Data were analysed using the desktop review method. The study revealed that there are no clear-cut policies for the resettlement of displaced residents thus far in Nigeria. The measures of government on conflict prevention and management remain failed either due to lack of capacity for prevention or management of attacks.

Keywords

Ethnic crisis, Ethnicity, Federalism, Indigene, Insecurity, Settler

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1. Introduction

Ethnic violence and violations of human rights are the expected outcomes of ethnic relations in Nigeria – all under the mask of 'alien' ethnic member vacation orders, for instance from a 'host' ethnic group's territory. Each case of ethnic crisis breeds new victims in a state, something that sustains conflict. The occurrence of crisis with ethnic attributes propels separatist agitation and enhances the quest for opting out of the federation by aggrieved ethnic group members. Thus, the adequacy of federalism in ensuring security of life and property through the sustenance of the common law, government, and security, remains in doubt – leading to arguments pertaining to the restructuring or disintegration of the country (Babalola, 2019). This conundrum is the focus of this study.

By virtue of its 1999 constitution, Nigeria is a federation of thirty-six states, a Federal Capital Territory (FCT), and 774 Local Government Areas, (LGAs) (FGN, 1999). The possible reasons for the practice of federalism in Nigeria are several, and have, for instance, been presented as British imposition targeted at a post-colonial relationship, or as a preference of the British colonial administration for divide and rule, or as a separate negotiation outcome of different regions leading to an agreed solution to the problem of governance in the country at independence, or finally, as a preference for federalism over a unitary system by nationalists (Olowu, 1991; Majekodunmi, 2015; Olu-Adeyemi, 2017; Umoh & Adeyi, 2019). In addition, the practice of federalism in Nigeria today has been linked to the plurality of nations that the British colonial government amassed and had to administer under colonial rule, and for that purpose using indirect rule (Majekodunmi, 2015). In this way it becomes a tool for the integration of aggregated nations during colonial rule (Olu-Adeyemi, 2017) and a strategy for reaching consensus on issues of generality in the country (Umoh & Adeyi, 2019). In the end, the sustenance quest of inherited nations may have necessitated the adoption and practice of federalism in the country.

The plethora of nations on the continent of Africa (including Nigeria) can be identified with different circumstances and situations. The foundation of government among the Yoruba, one of the major nations in Nigeria, is presumed to be based on migrants who relocated from the savannah into the forest where they settled (Lloyd, 1960). Afterward they developed a common identity based on factors such as geographical territory, language, and a monarchical government (Lloyd, 1960; Adeboye, 2016). This is also the reality of the contemporary ethnic nationalities, and it can be argued from the above that settled migrants are the ones that are claiming land ownership in the contemporary federal arrangement.

The line of difference between the settlers and the indigenes remains thin given that those who claim to be indigene also were migrants at some point (Adesoji & Alao, 2009). From a slightly different angle, we can note that the origin of nations on the African continent has been classified into pre-colonial and colonial eras, respectively (Kasfir cited in Isumonah,

2004). Nations including for instance the Ashanti, Alur, Buganda and Bunyoro were then noted to have evolved prior to colonial rule. Colonialism was then considered as the factor creating ethnic groups such as the Igbo, Yoruba, Kru, and Mongo (Kasfir in Isumonah, 2004). It is useful to submit such different conditions which have stimulated the rise, fall, and adaption of nations overtime (Thompson, 2010:9).

Diversity of nations on the African continent equals plural identities. There are different forms of identities on the continent of Africa, and these have been identified to consist of ethnic, religious, and tribal identities (Alemika, 2004; Hyden, 2006). The most pronounced identity is ethnicity, and this is because everyone is expected to have a specific ethnic origin (Hyden, 2006:184). Thus, while every identity remains neutral and silent, diversity is part of the foundation of the Nigerian state. Prior to and after independence, attempts were made to harmonise this platform, a process that is still confronted with challenges in safeguarding life and property.

The contemporary crisis confronting the unity of the Nigerian state may have resulted from the failure of the British colonial power to weld the created state together. The direct manifestation of this is the continuous fight for political privileges in the new state in the aftermath of colonial rule (Ademoyega, 1981). Ethnic identity continues to be mobilised by individuals/groups in relation to issues of generality. After all, we may look upon ethnic identity as a coping mechanism for ethnic/racial discrimination (Mossakowski, 2003). Invoking ethnic identity is in this context done with the intention of securing certain objectives or goals.

Ethnic identity, citizenship, and the settlers/indigenes dichotomy are interrelated concepts and realities in the Nigerian context. Citizenship, for instance, is said to be realized in the country at the ethnic level and not at the national level; a citizen is firstly a member of an ethnic group, and remains tied to the group and enjoys rights in the state based on the identity as an ethnic group member (Ifidon, 1996). It has been submitted that the clash of ethnic identity and citizenship in the country has produced 'Nigerians that are not citizens but indigene of their ethno-geographical territory' (Awolowo, 1945).

Each ethnic group seeks to control their land of origin, while dwellers from other ethnic groups are considered alien and treated as such with respect to political, economic, residential, and educational rights – regardless of the number of years spent, property owned or provision of the constitution. The perceived dominance from aliens results in resistance in the form of an intra and inter-ethnic crisis in the country. This act cumulates in insecurity, as violence often ensues, resulting in the denial of residential rights, killing, and destruction of property, all of which are forms of violations of constitutional privileges.

The "purpose of government" used in the title of this study is here analysed through the "social contract trio", Thomas Hobbes, John Locke, and Jean Jacques Rousseau, and

includes the security of life and property, as well as the protection and enhancement of natural rights.

The Nigerian 1999 constitution is based on consensus, and it is believed to be a product of agreement of free individuals. The preamble of the constitution captures this agreement. In accomplishing peace in the country, and like in many other multi-ethnic states, federalism is believed to be the appropriate governance system of preference given its institutionalised conflict management strategy (Hueglin & Fenna, 2015:1). However, crisis continues to ravage the country: inter-ethnic crisis is the most pronounced type of conflict in the Nigerian federal system.

1.1 Statement of the Problem

Studies including Ikelegbe (2005), Ojie (2006), John, *et al.* (2007), Gilbert (2013), and Osabiya (2015) have identified the inter-ethnic crises as related to the prevalence of ethnic militia groups, and they have also noted that injustice and poverty are factors responsible for militancy in the country. Equally, low involvement of citizens in the provision of security assistance is believed to be a propelling factor for inter-ethnic crisis in the country (Aleyomi & Nwagwu, 2020).

The crises also consist of violations of human rights, losses of lives, and the destruction of property, leading to internal displacement of residents as captured by the concept of internally displaced persons (IDPs). However, there is no study available that bridges the gap on the resettlement of residents to their residence and sustainable interethnic resident crisis management in Nigeria, hence this study.

The two research questions that guided the study are:

- a. What are the strategies of government for the resettlement of residents in post-conflict situation in Nigeria?
- b. Why have the approaches of government on conflict prevention and management failed to ensure residential rights in Nigeria?

The study highlighted the approaches of government for the resettlement of residents in post-conflict locations in Nigeria, and identified the reasons why the approaches of government on conflict prevention and management have failed to ensure residential rights of residents in Nigeria. In particular, the study focuses on reported cases of herders' attacks and consequent security challenges to selected communities in Nigeria since 2016.

1.2 Methodology and Theoretical Framework

The term federalism has been variously defined in literature, and the usage of the term has continuously affected the connotation of the term. Etymologically, "federalism" is an English word that originates from the French words *foedus* and *fides*, which imply agreement. This may be taken to imply an arrangement based on consent. To echo Robert Michel's 'iron law of oligarchy' dictum that government will necessarily evolve in human arrangements, federalism is an arrangement based on consent of the constituent units in which the government is constituted to reflect the ethnic composition of the country. There may, however, be more than two or three ethnic groups in a state wishing to operate as a federal state, and the government must be based on the consent of the federating groups. The modern understanding and description of the term implies a political system where a constitution allows for the existence of (at least) two levels of government, and which runs both as a nation and as an agglomeration of autonomous units (Hicks, 1978).

Thom-Otuya (2013) analysed the term as the sharing of sovereignty between the levels of government, with a provision for autonomy among its units. Thus, the government is organised to recognise diversity and allows for inclusion of the diversity in governance. Tella, Doho, and Bapeto (2014) explained the term as a political philosophy based on a representation in government which binds the people together. This definition views federalism as based on a common representative government of the constituting ethnic groups. The concept has also been described as a political arrangement that allows for territorial needs for agreement between different entitlements and goals (Hueglin & Fenna, 2015:4). Amah (2017) described the term as one in which more than two autonomous states/ethnic groups/nations have consented to the organisation of common government with preservation of their specific sovereignty. Thus, federalism is working because of the need for alliance with other ethnic groups and for the preservation of certain cultural practices by the federating units.

In the literature it has been noted that federalism may result from the circumstances of 'coming-together' and 'hold-up' (Hueglin & Fenna, 2015:3). The 'hold-up' involves autonomous nations/ethnic groups agreeing to form a federation for a certain purpose, including economic aspects, as well as for defence reasons (Hueglin & Fenna, 2015:3). The 'hold-up' arrangement becomes necessary for the rearrangement, or restructure, that follows the urge (which continues to manifest in the form of suspicion) to sustain the political entity (Hueglin & Fenna, 2015:3). The operation of federalism is the recognition of ethnic diversity and the need to sustain a certain level of relationships among the federating ethnic nationalities. Thus, each ethnic group has territory, members, distinguished languages, and a customary government. This implies that in a federal state there are different objectives put forth by the federating units, and the essence of the arrangement is to ensure balance

between demands and provisions. The term 'federal success' was coined to describe the absence of secessionist agitation, and war, in the state (Hueglin & Fenna, 2015:4). The term federalism is conceived in this study as a political entity based on agreement and laws, and operated between the willing ethnic groups with opportunities for benefits and conflict management.

In the theory of federalism that was adopted for the study, it was considered adequate – because of the multi-ethnic composition and the constitutional declaration of Nigeria – to employ a federalist school where there are four major groups legalism, sociology, bargaining and development.

The legal group championed by K.C. Wheare, defined federalism as an arrangement based on constitutional division of power between the centre and the federating units. The federating units are significant levels of government (Ogunnoiki, 2017). The "ethnic group" was not identified as a compositing unit, rather, the levels of government.

The sociological group is led by William Livingstone, who identified the reasons for federalism with sociological factors such as cultural, economic, political, and social factors. Thus, federalism may be adopted and operated based on factors other than legal issues. Unequal distribution of natural resources including mineral resources and land for agriculture, and social issues including the need to attack common enemies, may propel a federal arrangement. Thus, ethnic diversity is a major factor for a federal arrangement.

The bargaining/development group views a federal arrangement as a relationship between the federating leaders to keep the territory as one (Ogunnoiki, 2017). Hence, the purpose of the arrangement was, according to this perspective, to annex the territory.

We can conclude that federalism is a dynamic process in which the levels of government have been arranged in order to be able to solve problems. Thus, the system operates for the workability of the levels of government.

2. The Security Situation in the Nigerian Federal System

Security, which is here considered as a function of protection of life and property, is a fundamental reason for the existence of government in any state. To realise effective governance systems in the geo-ethno-religious expanse of the Nigerian state, federalism has been adopted and practiced in the country since the Richard constitution (of 1946). A federal arrangement is believed to involve a minimum of two levels of government, so constituted that each is autonomous in its sphere.

Despite the organisation and re-organisation of the federal attribute of the country from time to time, insecurity has been pointed out to remain a constant challenge and addressing the issue has informed different responses. The origin of ethnic militia in

the country, for instance, was believed to have been conjured by anger, bitterness and injustices (Ikelegbe, 2005). Militias function as a platform for the mobilization of ethnic identity, and their activities make up the obvious cases of confidence loss in the state and in the ability of the state to resolve inter-ethnic crisis among the ethnic groups (Ikelegbe, 2005). Ethnicity as created by the British has been utilised by the political class in the country, and the implication of its usage has encouraged extrajudicial killings in the Nigerian democracy (Ojie, 2006). Also, social, economic, and ethno-religious conflict, security response to crime, and economic disparity has been identified as fuelling factors propelling proliferation of small and light weapons (John *et al.*, 2007).

Ethnic militancy remains the reality in Nigeria since the return to power of civilian administration, with a subsequent increase of the level of insecurity in the country (Gilbert, 2013). According to Milligan (2013), the fundamental cause of conflict in Nigeria is how to arrange the power sharing among the ethnic groups. Insecurity can be explained by the level of continuous marginalisation and injustice suffered by a majority of Nigerians, something which propels injustice leading to the formation of ethnic militia (Gilbert, 2013). This is dependent on the indigene/settler dichotomy, which even if it does not necessarily result in conflict, nevertheless fuels the creation of ethnic groups and sustains them (Milligan, 2013). This implies that ethnic groups mobilise for the 'national cake' through their conflict, and sometimes through terror-like approaches. This situation, taken generally, is supported by the negative socio-economic development of the state (Nwagboso, 2018). Investment, human and capital resources, and many other critical development factors are at risk and routinely jeopardised.

In addition to these structural components mentioned above, frequent clashes have been recorded between farmers and herders. Such conflicts contribute to insecurity and the violation of rights of Nigerians. The exponential growth rate of the population in the country is another factor that could be said to have contributed to the increasing level of conflict between farmers and herders (Nwakanma & Boroh, 2019).

A possible solution, though, to the challenges of the division between herders and farmers may be found in the function ranches, established in the affected areas (Mawoli & Adamu, 2020). However, the establishment of ranches is considered a strategy to gain demographic strength for political purpose by the Hausa/Fulani ethnic group. This is because the Hausa/Fulani ethnic group are identified with the business of herding in Nigeria.

Securing life and property in the country constitutes, as we have seen, one of the areas challenging any government in the state. One critical factor in this is the function of the police. Police performance has arguably been influenced by the poor condition of service, poverty, and recruitment plans, and this has sometimes informed police abuse of power on the citizens, and poor discharge of duties (Nte, 2011). The security apparatus of the state

abuses the privileges of its citizens. Arguments for and against the state police system of Nigeria have been widely reviewed in literature (Aleyomi, 2013; Eme & Ogbochie, 2014; Tangban & Audu, 2020).

As a result of the poor performance by the central policing system in Nigeria, there is a (renewed) request for a decentralisation of the police system in the country (Adefi & Achor, 2013; Moliki, Nkwede & Dauda, 2020). The enactment of the state police, set up in order to constitute a security outlet at the second level of government, is intended to attend to the ravaging and multi-dimensional insecurity at that level of government in Nigeria (Adefi & Achor, 2013; Moliki, *et al.*, 2020).

The basic arguments in the police debate are the proposition that the second level policy system will improve safety of life and property, while the opposing view is that the approval of the measure will further constitute insecurity (Aleyomi, 2013; Eme & Ogbochie, 2014; Tangban & Audu, 2020), implying that the state police will be used against political opponents by the incumbent governors to achieve electoral success (Aleyomi, 2013; Eme & Ogbochie, 2014; Tangban & Audu, 2020).

Insecurity has often been identified with ethnic militia activities, and the reason for their formation includes perceived ethnic injustice and guest for (cultural, political, economic, social, and religious) power. However, the government has equally adopted non-securitybased approaches to contain the problem of insecurity in the state. One such measure is amnesty, which has been employed and targeted at the agitating groups. An amnesty programme that was developed by the federal government in the Niger-Delta area has been requested to be extended to youths generally without limiting it to militants. The reason for this is the belief that such a move would prevent violation of human rights and insecurity in any area where it is employed (Wapmuk, 2012). This was believed to be a sustainable measure to consolidate the programme and to achieve adequate security while striving after peace building in the Eastern region. The comparative analyses of the amnesty offer for the Niger-Delta militants, including the Boko Haram, has, however, generated tension in the country, and there is another call for understanding of the complexity of the issues prompting particular agitation before adopting such a general approach (Nwankpa, 2014). The use of this "one-size-fits-all" approach is believed by some to worsen the security situation in the country. It has been noted that the amnesty policy in the Niger-Delta region as a way of containment of insecurity remains inadequate – the government has still to fulfil pledges to the people as promised, after six years of the programme (Imongan & Ikelegbe, 2016).

2.1 The Dynamics of Ethnic Relationships in Nigeria

The ethnic composition of Nigeria is not completely mapped, there is uncertainty for instance on the number of ethnic groups in the country. Ethnic groups have traditional geographical location, government, culture, languages, etc., which qualifies them to be a nation – these dimensions are what distinguish them from others.

An analysis of the relationship between the Hausa and Yoruba in the Sabo area of Ogbomosho (Tijani, 2008) offers an interesting example of inter-ethnic relations. It has been revealed that the creation of the Hausa community was done in Ogbomosho (a typical Yoruba nation) by Hausa settlers without any violent response from the host community. The absence of violence was believed to have resulted from the extant interethnic relationship prior to the creation of Sabo in Ogbomosho (Tijani, 2008). The issue of indigene-settler relationships, which is the attribute of this example, has been examined by Olakunle & Segun, 2016, with the submission that despite the non-discriminatory stand of the constitution there are limitations to the rights enjoyed by non-indigenes, something which continues to question the essence of federalism in the country. As stated by another author, ethnicity can be a neutral reality in the sense that it has a possibility to be used positively, facilitating development as much as misuse or wrong mobilisation (Adetiba, 2013).

The Tiv/Jukun relationship, another case in the Nigerian federal system, demonstrates a relationship characterised by conflict. While the relationship between the two ethnic groups has become aggressive in recent times (Akombo, 2005), the communities had political, economic and cultural relations prior to colonial rule. The advent of colonial rule was identified as a factor that redefined their relationship. Nevertheless, they showed throughout a readiness to coexist peacefully (Akombo, 2005). Recent cases of armed conflict between these two communities have resulted in the destruction of life and property, something that has been repeated and has assumed a constant practice. Resolving the lingering crisis through Western approaches such as peace meetings and related initiatives has so far proved to be ineffective (Agbu, Zhema, &Useini, 2019).

2.2 Internally Displaced Persons Management

Internal displacement remains one of the attributes of armed conflict in the country today. To contain this outcome of armed conflicts, government and non-governmental organisations (NGOs) set up camps for internally displaced persons (IDPs) in attempts to reduce their vulnerability and meet their basic needs including food, shelter, and clothing. The continuous neglect of the IDPs by the government has, however, led to a call for the amendment of such practices (Adewale, 2016). It has been noted that when IDP camps

are neglected, this may propel its inhabitants into crime in an effort to provide for their basic needs (Adewale, 2016). Vulnerable persons cut across all ages, and the problems confronting the IDPs include unemployment, problems of accommodation, engagement of school age children in labour amounting to child labour, early marriage, and increase in school dropout rate (Itumo & Nwobashi, 2016). The IDPs usually occupy two forms of settlements, which are formal and informal by nature (Olanrewaju *et al.*, 2019). IDPs in informal settlements are at high risk of not accessing quality education, accommodation, food, health care, and potable water because their settlement is usually informal. This makes them even more vulnerable. It is obvious from the above that there is a need for government intervention, not only to identify victims, but equally to put measures in place to meet the basic needs of the victims.

2.3 Local Government as a Tool for Effective Governance in Nigeria

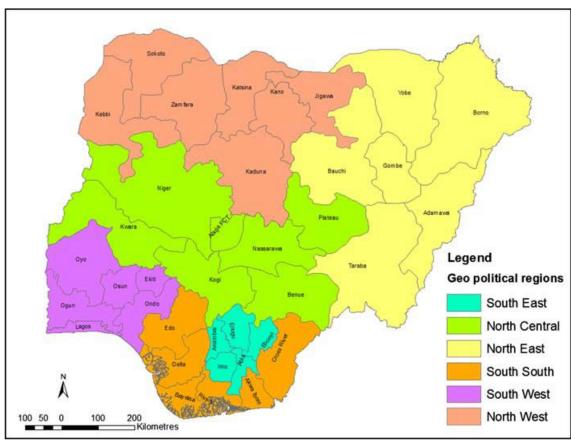
The need to bring local government closer to the people in order to ensure effective service delivery have prompted the 1976 local government reform in Nigeria. Prior to the reform, the state usually created Local Government Areas (LGAs) based on needs and developmental purposes (Asaju, 2010). The fallout of the 1976 local government reform was, however, a unified multi-task structure of government. Through the reform, a multi-local government system was harmonised to a single third tier of government with a fully constitutionally based function and obligation to the people in the local government's area. The contemporary local government system that evolved in the aftermath of 1976 has, however, been described in critical terms (Ibietan & Ndukwe, 2014). Arguing from the perspective of financial autonomy, the performance of local government in the service delivery sector remains poor in the country precisely because of lack of financial autonomy on the relevant level of government. In addition, there was interference in the activities and operations on the local level from other tiers of government. Finally, a certain degree of corruption, among other factors, meddles into the performance of the local governments (Osakede, Ijimakinwa, & Adesanya, 2016).

Local governments in the country are underperforming their constitutional role (Oluwaleye & Ifeyinwa, 2019), and the reasons for the poor or inadequate performance of expected functions are identified with the absence of a democratically elected chairperson to govern the area (Alao, Osakede, & Owolabi, 2015; Oluwaleye & Ifeyinwa, 2019). The creation of State Local Government Account, SLGA, has been identified as one of the hindrances to the discharge of the expected constitutional duties of local government in their respective sphere (Koni, 2016), and the need for a democratically elected chairperson to head and direct the activities of government in the area. In view of the underperformance

identified with local government in the country, it has been suggested that there should be a degree of dissociation in the relationship between the levels of government in the country in order to allow for effective discharge of obligations (Ikeanyibe, Chukwu, & Ibietan, 2019).

3. The Case of Conflicts between Herders and Indigene

A descriptive research design was adopted for this study, and qualitative data were sourced from secondary materials such as newspaper articles and journal articles, in addition to both published and unpublished studies. Six states were purposively selected across the six geo-political zones in Nigeria, such that a state is selected from each geopolitical zone based on the focus described above on reported herdsmen attacks since 2016 as indicators. A community where a herdsmen attack was reported was thus selected.



Map 1: Map of Nigeria showing the six geo-political zones

Table 1: Selection of Locations of Herder Attacks in Nigeria

Geo-Political	State selected	Local Government	City/Community selected
Zone		Area	
North-Central	Benue	Guma Local Council	Yelwata communities
North-East	Adamawa	Lamurde LGA	Bang community
North-West	Kaduna	Zango-Kataf LGA	Atyap communities
South-East	Ebonyi	Ishielu LGA	Obeagu community
South-South	Delta	Ughelli North Local	Uwheru kingdom: Avwon,
		Government Area	Agadama and Ohoror
			communities.
South-West	Ondo	Ose LGA	Arimogija community

Source: Author's Compilation

Study Area

The study area of the research is Nigeria. The country gained independence in 1960 and has been operating federalism prior to independence through the Lyttleton constitution of 1954. Nigeria is known to have diverse ethnic nationalities, which have been estimated at different numbers (usually over 200 ethnic groups) by different scholars (Mbabuike, 1997; Ojo, 2009; Lenshie & Abel, 2012). Based on the population density of ethnic groups, Yoruba, Hausa-Fulani, and the Igbo are considered major ethnic groups, while nations with relatively small populations are categorised as minor ethnic groups (Alapiki, 2005). The major ethnic groups have the largest geographical territory, such that the Yoruba controls the West, Igbo dominates the East, and the Hausa remains the major ethnic group in the North. The structure has been sustained by a regionalisation of the country since the Richard constitution of 1946, and the subsequent 1960 independence constitution.

The quest for political power in the country, and the fear of domination, has prompted each ethnic group to the creation of ethnic militia. The militias now serve as an army in the protection of territorial integrity of their inhabited area (Isumonah, 2004; Lenshie & Abel, 2012; Ojo, 2009). Also, new ethnic groups emerge in the country based on different conditions, for instance, Isumonah (2004) discussed the creation of a new ethnic group known as Ogoni. More so, the country has fought a civil war between 1967 and 1970 (Bray & Cooper, 1979; Mbabuike, 1997; Okonta & Meagher, 2009; Ukiwo, 2009), and recorded many national tensions such as those following the 1991 general elections.

3.1 Resettlement as Governance in Post-Conflict Situations in Nigeria

Herdsmen attacks continue to be recorded across Nigerian communities. Six examples are given below.

Mr. John Adamu¹ (reported by Sani, 2020) stated that herdsmen had attacked his community approximately five times between 2018 and 2020. Following the attacks, he explained that the rebuilding of the community had commenced when herdsmen confronted the community again. The reason for the latest attack was due to the discovery of the rebuilding exercise given that they (herdsmen) had vowed not to allow any form of development in the community (Sani, 2020). Further, a majority of the residents had fled in the wake of the attack, leaving behind aged persons that could not escape.

Duru (2018) reported that herdsmen attacked the Tse-Iyortyer area of Yelwata in Guma LGA of Benue, killing six persons and injuring many more. The attackers of Yelwata were identified to have originated from Giza in Keana LGA of Nasarawa, and by identity they were all Fulani herdsmen. Mr Tarka² reported that attacks on the Yelwata area had triggered tension and resulted in more internally displaced persons. The identified reason for the attack in the description of Lawrence Onoja (Godwin, 2018) was due to the enactment of the anti-open grazing law.

Mr Samuel T. Achie³ reviewed an attack of the Atyap people of Zango Kataf. He claims that the attacks were attempts to wipe out the Atyap people, and to seize and occupy their lands. Further, he established that all schools were closed, thereby denying the children access to school. Dominic Yahaya⁴ noted that, following constant attacks in the area, about 15,000 residents have been displaced from their homes and are in the IDP camps. Also, indigenes - who are predominantly farmers – have been forced out of their farms, since most people have deserted their farms due to insecurity.

Oji Osondu, describing herders' attacks on the Obeagu community, stated the reason to be a demand for the evacuation of the herders (Nnachi & Ede, 2021). Furthermore, Amuche Uga reported that the attackers identified themselves as the Fulani militias, and equally asked them to tell Nnamdi Kanu⁵ that they can enter any community in Igboland, attack, and nothing will happen (Nnachi & Ede, 2021).

¹ Mr. John Adamu, a resident of Bang, Lamurde Local Government Area of Adamawa State. Retrieved from https://www.thisdaylive.com/index.php/2020/02/20/suspected-herdsmen-attack-adamawa-community-kill-village-head-destroy-houses/

² Mr Tarka, A resident of Yelwata community in Guma Local Government Area of Benue State. Retrieved from https://dailypost.ng/2021/09/19/tension-as-suspected-herdsmen-attack-benue-community-kill-2abduct-one/

³ President of Atyap Community Development Association. Retrieved from https://tribuneonlineng.com/zango-kataf-crisis-we-are-not-in-war-atyap-people-declare/

⁴ The paramount ruler of Atyap chiefdom. Retrieved from https://www.channelstv.com/2021/09/20/kaduna-security-50-killed-254-houses-razed-in-atyap-in-one-month-monarch/ on 23rd Sept, 2021

⁵ Nnamdi Kanu is the leader of the Independent People of Biafra. The sect has been agitating for the secession of the Biafra from the Nigerian federal arrangement.

In the case of the Uewheru Kingdom⁶ in Ughelli North LGA of the Delta State, the news of herders presence in the environment resulted in displacement of the residents. The same incidence was recorded in Arimogija community in Ose LGAin Ondo state⁷. As these examples show, residents continue to be displaced across communities in Nigeria, especially from the reported cases of herdsmen attacks. It is equally obvious that the herders' attacks occur in two different ways, they can be provocative or non-provocative.

The question of resettlement of residents, who are victims of the crisis, remains, however, unanswered from the study cases given above.

3.2 Government Measures on Conflict Prevention and Residential Rights in Nigeria

The provisions of the 1999 constitution of the Federal Republic of Nigeria, in sections 15 (3B) and 41 (1), grant residential rights to all citizens to dwell in their area of choice (FGN, 1999). Thus, a citizen has in principle any area of the country as a legally potential area of residence. Ifidon (1996) has, however, argued that citizenship is realized at the ethnic level and not at the national level in Nigeria; a citizen is a member of an ethnic group, remains tied to the group, and enjoys rights in the federal unit/state based on ethnic identity.

The herder attack on the Bang community, analysed by Adamu (Sani, 2020), was due to rebuilding of the community that the herders had vowed not to allow. It was obvious that the rebuilding of the community was the reason for the attack on the community. It was also learnt that the attack on Yelwata community in Benue resulted from the enactment of the anti-open grazing laws in the state. The attack on the Atyap community of Kaduna state was noted to have been triggered by 'unknown reasons' by the affected people.

Osondu (Nnachi & Ede, 2021) identified the attack on the Obeagu community with the request for vacation of herders from the community. It is a known fact in the country that indigenes regard settlers as foreigners (and vice-versa) and may be evicted when so desired or the need arises. The settlers can equally attack the indigenes for ulterior motives, since they similarly believe that the indigenes are foreigners. The Uewheru kingdom and Arimogija community in Ose LGAin Ondo state attack, for example, was noted to have been carried out for non-provocative reasons. Such attacks remain possible also today, since the police, which is the main security organisation of government for internal conflict management, either does not have the capacity to contain an on-going crisis or to prevent it from happening. In this way, we can confirm the findings of Nte (2011) that poor

⁶ https://www.vanguardngr.com/2020/02/again-herdsmen-attack-delta-community-kill-eight-injure-several-others/

⁷ https://www.vanguardngr.com/2020/04/tension-in-ondo-community-over-alleged-supply-of-weapons-to-herdsmen/

conditions of service, poverty, and recruitment policy of government and police may have hindered effective security measures.

The finding of Gilbert (2013) that marginalisation and injustice suffered by a majority of resident indigenes in their area has propels ethnic militia, something which Osabiya (2015) has also noted, has been formed due to the quest for the 'betterment of their own lot'.

4. Conclusions

From the examples of cases put into the framework of the federal structure of the Nigerian constitution and the nature and diversity of the Nigerian society, we have found that the federal structure of the country is not capable to deal with fundamental challenges to human rights, including threats to life and property.

As per the examples of this study, and the research problem, there is no clear-cut policy for the resettlement of displaced residents in the studied area. The reasons for this are due to ongoing attacks and the displacement of residents. This study further established that the measure of government for the prevention and management of crisis remains inadequate to enforce residential rights of occupants. It is difficult not to conclude that this is a critical reason for recurrent crises in the country when it comes to relations between herders, indigene, and the broader Nigerian society.

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Your application is to include:

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Examples of such changes are:

- the increased para-diplomatic activity of federal units which means transgressing previously sacrosanct state borders,
- the complex nature of state identities which challenge the federal idea by the sheer multitude of levels of appropriate application, or
- as a more theoretical example challenges to, or methods for dealing with, problems of a uniform implementation of (the same) principles on different levels of administration, for instance regarding Human Rights or general administrative principles.

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